

Docket No. 4208-4121US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jung

Group Art Unit: 2171

Serial No.: 10/786,705

Examiner: TBA

Filed: February 24, 2004

For: SYSTEM AND METHOD FOR THE PROVISION OF SOCIALLY-RELEVANT
RECOMMENDATIONS

INFORMATION DISCLOSURE STATEMENT

Mail Stop
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is filed in accordance with 37 C.F.R. §§1.56, 1.97 and 1.98. The items listed on Form PTO-1449, a copy of which is enclosed, are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

1. ☒ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, an English language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:

For JP 01-111401 and BE 1010909, which are in the Japanese and Dutch languages, respectively, were cited by a foreign patent office in a counterpart foreign application; a concise explanation of relevance is satisfied by the enclosed English language version of the search report which indicates the degree of relevance found by the foreign office. MPEP, § 609IIIA(3), p. 600-129 (8th Ed., Incorporating Rev. No. 2, May 2004)

2. ☐ For each of the following items listed on the enclosed copy of Form PTO-1449 that is not in the English language, a concise explanation of the relevance of that item is incorporated in the specification of the above-identified application.
3. ☐ Any copy of the items listed on the enclosed copy of Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in application Serial No. _____, filed _____.

11/07/2005 TBESHAH1 00000045 134500 10786705

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4. ☒ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with:
- ☐ 37 C.F.R. §1.97(b)(1), within three months of the filing date of a national application other than a CPA; or
 - ☐ 37 C.F.R. §1.97(b)(2), within three months of the date of entry into the national stage as set forth in §1.491 in an international application; or
 - ☐ 37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or
 - ☒ 37 C.F.R. §1.97(b)(4) before the mailing date of a first office action after the filing of an RCE under §1.114.
5. ☐ No fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a Notice of Allowance (where there has been no prior final action), and is accompanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below.
6. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(c), after the period specified in paragraph 4 above but before the mailing date of a final action or a notice of allowance (where there has been no prior final action):
- ☐ A check in the amount of \$180.00 is enclosed in payment of the fee.
 - ☐ Charge the fee to Deposit Account No. 13-4500, Order No. _____. A
DUPLICATE COPY OF THIS SHEET IS ATTACHED.
7. ☐ A fee is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since it is being filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final action or a notice of allowance, whichever comes first, but before payment of the issue fee, and is accompanied by:
- a. one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 below; and
 - b. the fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 below.
8. ☐ This Information Disclosure Statement is being filed in compliance with:
- a. ☐ 37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h);
 - b. ☐ 37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application


upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h).

- c. ☐ The fee due under 37 C.F.R. §§1.17(h) is paid as set forth in paragraph 11 below.
9. ☐ I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.
- ☐ I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
10. ☐ This document is accompanied by ☐ a Search Report ☐ Communication which was cited in a corresponding ☐ PCT or ☐ Foreign counterpart application
11. ☐ A check in the amount of \$ _____ is enclosed in payment of the fees due under 37 C.F.R. §§1.17(h) and 1.17(p).
- ☒ Charge the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Account No. 13-4500, Order No. 4208-4121US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4208-4121US. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

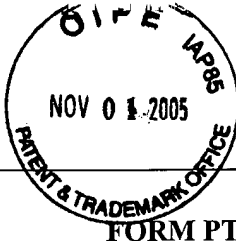
Dated: November 1, 2005

By: _____


Angus R. Gill
Registration No. 51,133

Correspondence Address:

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FORM PTO-1449 INFORMATION DISCLOSURE CITATION	Attorney Docket: 4208-4121US1	Serial No.: 10/786,705
	Applicant: Jung	
	Filing Date: February 24, 2004	Group Art Unit: 2171

U.S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Publication Date	Name	Class	Sub-Class	Filing Date
	5,493,692	February 20, 1996	Theimer et al.			
	5,790,974	August 4, 1998	Tognazzini			
	5,838,685	November 17, 1998	Hochman			
	6,108,688	August 22, 2000	Nielsen			
	6,175,743	January 16, 2001	Alperovich et al.			
	6,266,048	July 24, 2001	Curau, Sr.			
	6,272,129	August 7, 2001	Dynarski et al.			
	2002/0002705	January 3, 2002	Byrnes et al.			
	2002/0022453	February 21, 2002	Balog et al.			
	6,351,271	February 26, 2002	Mainwaring et al.			
	2002/0082921	June 27, 2002	Rankin			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Patent Number	Publication Date	Country	Class	Sub-Class	Translation
	WO 97/49255	December 24, 1997	PCT			<input type="checkbox"/> Yes <input type="checkbox"/> No
	WO 99/32985	July 1, 1999	PCT			<input type="checkbox"/> Yes <input type="checkbox"/> No
	WO 99/37105	July 22, 1999	PCT			<input type="checkbox"/> Yes <input type="checkbox"/> No
	WO 99/51048	October 7, 1999	PCT			<input type="checkbox"/> Yes <input type="checkbox"/> No
	WO 00/32002	June 2, 2000	PCT			<input type="checkbox"/> Yes <input type="checkbox"/> No
	WO 00/69202	November 16, 2000	PCT			<input type="checkbox"/> Yes <input type="checkbox"/> No
	WO 00/74424	December 7, 2000	PCT			<input type="checkbox"/> Yes <input type="checkbox"/> No
	WO 01/39577	June 7, 2001	PCT			<input type="checkbox"/> Yes <input type="checkbox"/> No
	WO 01/67799	September 13, 2001	PCT			<input type="checkbox"/> Yes <input type="checkbox"/> No
	WO 01/82532	November 1, 2001	PCT			<input type="checkbox"/> Yes <input type="checkbox"/> No
	WO 01/86419	November 15, 2001	PCT			<input type="checkbox"/> Yes <input type="checkbox"/> No
	WO 02/11456	February 7, 2002	PCT			<input type="checkbox"/> Yes <input type="checkbox"/> No
	EP 0 788 065	August 6, 1997	Europe			<input type="checkbox"/> Yes <input type="checkbox"/> No
	EP 0 891 110	January 13, 1999	Europe			<input type="checkbox"/> Yes <input type="checkbox"/> No
	EP 1 041 849	October 4, 2000	Europe			<input type="checkbox"/> Yes <input type="checkbox"/> No
	EP 1 130 869	September 5, 2001	Europe			<input type="checkbox"/> Yes <input type="checkbox"/> No
	EP 1 187 023	March 13, 2002	Europe			<input type="checkbox"/> Yes <input type="checkbox"/> No
	JP 01-111401	April 28, 1989	Japan			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (Abstract Only)
	BE 1010909	March 2, 1999	Belgium			<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (Abstract Only)

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, etc.)

	Bluetooth Specification "Service Discovery Protocol" Version 1.0B, November 29, 1999, pp. 324-1082.
	J. Haartsen "Bluetooth - The Universal Radio Interface for AD HOC, Wireless Connectivity", Ericsson Review No. 3, 1998, pp. 110-117.

Examiner	Date Considered
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	

FORM PTO-1449 INFORMATION DISCLOSURE CITATION	Attorney Docket: 4208-4121US1	Serial No.: 10/786,705
	Applicant: Jung	
	Filing Date: February 24, 2004	Group Art Unit: 2171

U.S. PATENT DOCUMENTS

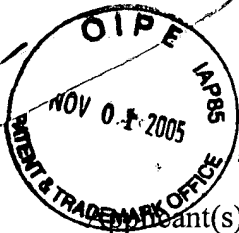
Examiner Initial	Patent Number	Publication Date	Name	Class	Sub-Class	Filing Date
	6,484,196	November 19, 2002	Maurille			
	6,496,849	December 17, 2002	Hanson et al.			
	2003/0002504	January 2, 2003	Forstadius			
	2003/0008662	January 9, 2003	Sten et al.			
	2003/0027636	February 6, 2003	Covannon et al.			
	2003/0054794	March 20, 2003	Zhang			
	6,549,768	April 15, 2003	Fraccaroli			
	6,560,456	May 6, 2003	Lohtia et al.			
	2003/0092376	May 15, 2003	Syed			
	6,625,460	September 23, 2003	Patil			
	6,697,018	February 24, 2004	Stewart			
	6,785,542	August 31, 2004	Blight et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Patent Number	Publication Date	Country	Class	Sub-Class	Translation
						<input type="checkbox"/> Yes <input type="checkbox"/> No
						<input type="checkbox"/> Yes <input type="checkbox"/> No
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						<input type="checkbox"/> Yes <input type="checkbox"/> No

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, etc.)

Examiner	Date Considered
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP §609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.	



Docket No. 4208-4121US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jung et al.

Group Art Unit: 2171

Serial No.: 10/786,705

Examiner: TBA

Filed: February 24, 2004

For: SYSTEM AND METHOD FOR THE PROVISION OF SOCIALLY-RELEVANT
RECOMMENDATIONS

EXPRESS MAIL CERTIFICATE

Mail Stop _____
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Express Mail Label No.: EV 622 499 275 US

Date of Deposit: November 1, 2005

I hereby certify that the following attached paper(s) and/or fee

1. Information Disclosure Statement - 3 page (in duplicate)
2. PTO-1449 Form with copies of non-U.S. patents/publications
3. Transmittal of Related Pending Applications - 1 page (in duplicate)
4. Related Case Submission - 1 copy
5. Copy of application serial No. 10/073,200 filed 2/13/02 - 85 pages
6. Postcard for Receipt Acknowledgement

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Albert Isles

(Typed or printed name of person mailing papers(s) and/or fee)

(Signature of person mailing paper(s) and/or fee)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Jung et al.

Group Art Unit: 2171

Serial No.: 10/786,705

Examiner: TBA

Filed: February 24, 2004

For: SYSTEM AND METHOD FOR THE PROVISION OF SOCIALLY-RELEVANT
RECOMMENDATIONS

TRANSMITTAL OF RELATED PENDING APPLICATIONS 37 C.F.R. §1.98(A)2(III)

Mail Stop _____
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith is a Related Case Submission listing related pending applications of the above-identified application, pursuant to 37 C.F.R. §1.98(a)2(iii).

- ☒ A copy of each cited pending application is attached.
- ☐ A copy of each related application(s) was submitted in parent application serial no. _____, filed _____.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4208-4121US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,
MORGAN & FINNEGAN, L.L.P.

Dated: November 1, 2005

By: _____

Angus R. Gill
Registration No. 51,133

Correspondence Address:

MORGAN & FINNEGAN, L.L.P.
3 World Financial Center
New York, NY 10281-2101
(212) 415-8700 Telephone
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RELATED CASE SUBMISSION

Attorney Docket:
4208-4121US1

Serial No.:
10/786,705

Applicant:
Jung

Filing Date:
February 24, 2004

Group Art Unit:
2171

RELATED PENDING APPLICATIONS

Examiner Initial		Serial No.	Filing Date	Inventor(s)	Patent No. (if applicable)	Issue Date (if applicable)
	1	10/073,200	February 13, 2002	Hamburg		
	2					
	3					
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Examiner			Date Considered			